

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53)

SEP 06 2001

Attorney Docket No.

INK-022CN2

First Named Inventor

Albert

Title

Retroreflective Electrophoretic Displays and Materials  
for Making the Same

RECEIVED

MAR 04 2004

APPLICATION ELEMENTSADDRESS TO: Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

OFFICE OF PETITIONS

1. ☒ Fee Transmittal Form
2. ☒ Small Entity Status  
☐ Applicant claims small entity status  
☒ Status established in prior application and is still proper and desired (copy enclosed)
3. ☒ Specification and Drawings [Total Pages 59]  
- Written Description - ( 42 pages)  
- Claims - ( 3 pages)  
- Abstract - ( 1 page)  
- Sheets of Drawings - (13 sheets)  
☐ Formal  
☒ Informal
4. ☒ Oath or Declaration [Total Pages 7]  
a. ☐ Newly executed (original)  
b. ☒ Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 17 completed)*  
*[Note Box 5 below]*
5. ☒ Incorporation by Reference (usable if Box 4b is checked)  
The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☒ Application Data Sheet (7 pages)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission  
☐ Computer Readable Copy  
☐ Paper Copy (identical to computer copy)  
☐ CD (identical to computer copy)  
☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)  
☐ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449  
☐ Copies of IDS Citations
11. ☐ Preliminary Amendment  
☐ Drawings [Total Sheets ]  
☐ Letter to Official Draftsperson Including Drawings [Total Pages ]
12. ☐ Return Receipt Postcard
13. ☐ Certified Copy of Priority Document(s)
14. ☐ Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in the prior application.
15. ☐ CD in duplicate for large table or computer program.
16. ☒ Return-receipt postcard:

17. ☒ If a CONTINUING APPLICATION, amend the specification by inserting on page 1, before the first line, the sentence:  
--This is a  
☒ continuation ☐ divisional ☐ continuation-in-part; of prior application Serial No. 09/140,988, filed on , August 27, 1998, the entire disclosure of which is incorporated by reference herein.--  
Priority to the above application(s) is claimed under 35 U.S.C. 120.  
Prior application information: Examiner: David L. Lewis. Group/Art Unit: 2673.

18. ☒ Priority - 35 U.S.C. 119  
☒ See Patent Application Data Entry Form.  
☐ The certified copy has been filed in prior U.S. application Serial No. on  
☐ The certified copy will follow.

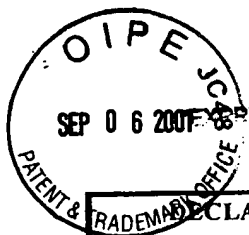
CORRESPONDENCE ADDRESSSIGNATURE BLOCK

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Date: June 29, 2001  
Reg. No. (Limited Recognition)  
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Respectfully submitted,

*Duan Wu*  
Duan Wu  
Atty/Agent for Applicant(s)  
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EXPRESS MAIL - Mailing Label Number EL 7504763490S

COPY

<b>DECLARATION AND POWER OF ATTORNEY FOR UTILITY OR DESIGN  PATENT APPLICATION</b>  <input type="checkbox"/> Declaration <input checked="" type="checkbox"/> Declaration  Submitted with      Submitted after Initial  Initial Filing      Filing	Attorney Docket No.	INK-022
	First Named Inventor	Albert
	<b>COMPLETE IF KNOWN</b>	
	Application Serial Number	09/140,988
	Filing Date	August 27, 1998
	Group Art Unit	2778
Examiner Name	David L. Lewis	

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**OFFICE OF PETITIONS**

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**RETROREFLECTIVE ELECTROPHORETIC DISPLAYS AND MATERIALS FOR MAKING THE SAME**

(Title of the Invention)

the specification of which

☐ is attached hereto  
OR

☒ was filed on August 27, 1998 as United States Application Serial Number or PCT International (MM/DD/YYYY)

Application Number 09/140,988 and was amended on (MM/DD/YYYY)                      (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

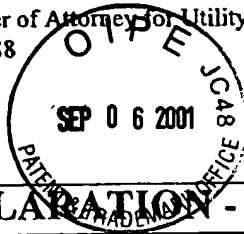
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Serial Number(s)	Filing Date (MM/DD/YYYY)	<input checked="" type="checkbox"/> Additional provisional application serial numbers are listed on a supplemental priority data sheet attached hereto.
60/057,133	August 28, 1997	
60/057,716	August 28, 1997	
60/057,122	August 28, 1997	
60/057,798	August 28, 1997	



## DECLARATION - Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c), of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Serial Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
08/504,896	July 20, 1995	
08/983,404	July 19, 1996	
08/935,800	September 23, 1997	
PCT/US96/12000	July 19, 1996	
PCT/US96/13469	August 20, 1996	

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet attached hereto.

As a named inventor, I hereby appoint the following registered practitioners to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: ☐ Customer Number

OR

☒ Registered practitioner(s) name/registration number listed below

Place Customer  
Number Bar Code  
Label Here

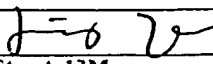
Name	Registration Number	Name	Registration Number
Steven M. Bauer	31,481	Marianne McLaughlin	P-42,870
Isabelle A.S. Blundell	P-43,321	Thomas C. Meyers	36,989
Michael H. Brodowski	P-41,640	Edmund R. Pitcher	27,829
Joseph A. Capraro, Jr.	36,471	Kurt Rauschenbach	40,137
Jerrie L. Chiu	41,670	Michelle B. Rosenberg	40,792
John J. Cotter	38,116	Michael J. Schmelzer	P-43,093
Jennifer L. Dupre	P-41,722	J. Scott Southworth	39,382
Duncan A. Greenhalgh	38,678	Christopher W. Stamos	35,370
William G. Guerin	41,047	Robert J. Tosti	35,393
Ira Heffan	41,059	Thomas A. Turano	35,722
Elizabeth E. Kim	P-43,334	Michael J. Twomey	38,349
Douglas J. Kline	35,574	Christine C. Vito	39,061
John D. Lanza	40,060	Patrick R.H. Waller	41,418
Timothy P. Linkkila	40,702		
Kurt W. Lockwood	40,704		

☐ Additional registered practitioners named on supplemental Registered Practitioner Information sheet attached hereto.

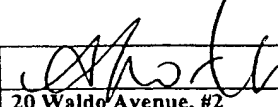
Direct all correspondence to:  
Patent Administrator  
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125 High Street  
Boston, MA 02110  
Tel. No.: (617) 248-7000  
Fax No.: (617) 248-7100

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor							
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Jonathan D.						Albert			
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	City	Cambridge	State	MA	Zip	02139	Country	U.S.A.	
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	City	Cambridge	State	MA	Zip	02139	Country	U.S.A.	
<input type="checkbox"/> Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) attached hereto.									
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Barrett						Comiskey			
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Inventor's Signature						Date	8/25/99		
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Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
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Post Office Address		1025 Hancock Street, 13M					
City		Quincy	State	MA	Zip	02169	Country U.S.A.

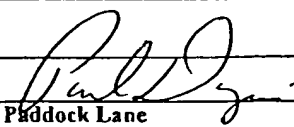
  

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
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Inventor's Signature				Date			
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## Declaration and Power of Attorney for Utility or Design Patent Application

Serial No.: 09/140,988

Page 4

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
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Post Office Address		1025 Hancock Street, 13M					
	City	Quincy	State	MA	Zip	02169	Country U.S.A.

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
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Andrew				Loxley			
Inventor's Signature					Date		
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	City	Somerville	State	MA	Zip	02143	Country U.S.A.
Post Office Address		20 Waldo Avenue, #2					
	City	Somerville	State	MA	Zip	02143	Country U.S.A.

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
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Robert				Feeney			
Inventor's Signature		<i>Robert Feeney</i>			Date		30 Aug 99
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Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
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Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
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Inventor's Signature	<i>Ian Morrison</i>				Date	9/25/99	
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Post Office Address	18 Prescott						
	City	Acton	State	MA	Zip	01720	Country U.S.A.

DWILSON2108/2.846186-1

**DECLARATION - Supplemental Priority Data Sheet**

Additional provisional applications:

Application Serial Number(s)	Filing Date (MM/DD/YYYY)
60/057,799	August 28, 1997
60/057,163	August 28, 1997
60/057,118	August 28, 1997
60/059,358	September 19, 1997
60/059,543	September 19, 1997
60/065,629	November 18, 1997
60/065,630	November 18, 1997
60/065,605	November 18, 1997
60/066,147	November 19, 1997
60/066,245	November 20, 1997
60/066,246	November 20, 1997
60/066,115	November 21, 1997
60/066,334	November 21, 1997
60/066,418	November 24, 1997
60/070,940	January 9, 1998
60/071,371	January 15, 1998
60/072,390	January 9, 1998
60/070,939	January 9, 1998
60/070,935	January 9, 1998
60/074,454	February 12, 1998
60/076,955	March 5, 1998
60/076,959	March 5, 1998
60/076,957	March 5, 1998
60/076,956	March 5, 1998
60/076,978	March 5, 1998
60/078,363	March 18, 1998
60/081,374	April 10, 1998
60/081,362	April 10, 1998
60/083,252	April 27, 1998
60/085,096	May 12, 1998
60/090,223	June 22, 1998
60/090,222	June 22, 1998
60/090,232	June 22, 1998
60/092,046	July 8, 1998
60/092,050	July 8, 1998
60/092,742	July 14, 1998
60/093,689	July 22, 1998
60/022,222	July 19, 1996
60/035,622	September 24, 1996